



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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IN RE APPLICATION OF

LEVIN et al.

APPLICATION NO: 09/896,186

FILED: June 29, 2001

FOR: METHODS OF CONTROLLING GENE EXPRESSION AND GENE

SILENCING

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

05/27/2003 MBLANCO 00000011 09896186 THIRD INFORMATION DISCLOSURE STATEMENT
02 FC:1806 180.00 OP

Sir:

In accordance with 37 CFR §1.56, Applicants wish to call the Examiner's attention to the references cited on the attached Form PTO-1449. The submission of these references is made solely for the purpose of complying with Applicant's duty of disclosure, and is not intended as a surrender of patentable subject matter nor is it intended to create prosecution history estoppel.

Copies of these references AN1-AR1 are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

The fee of \$180 under 37 CFR § 1.17(p) is enclosed by credit card form. If any additional fees are due, please charge the deposit account of Syngenta Biotechnology Inc. 50-1744.

Respectfully submitted,

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Date: May 21, 2003

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FORM PTO-1449 (REV. 7-85)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

PE (Use several sheets if necessary)

MAY 2 3 2003

ATTY. DOCKET NO. PB/5-31481A APPLICATION NO. 09/896,186 APPLICANT LEVIN et al. FILING DATE

JUNE 29, 2001

Confirmation No.:

9567 **Group** 1645

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CL	CLASS SUBCLA		ASS FILING DATE	
			FOREIGI	N PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLAS		TRANSLATION YES NO	
		OTHER DOC	UMENTS (I	ncluding Author, Title, Date, Pertiner	it pages, E	itc.)			
	AN 1			ene silencing and cell differentia domain. Trends Biochem. Sci.			novel f	PAZ	
•	AO 1	Dalmay T., et al. (2000) Potato virus X amplicons in Arabidopsis mediate genetic and epigenetic gene silencing. Plant Cell 12:369-379.							
	AP 1	Fagard, M. et al., (2000) AG01, QDE-2 and RDE-1 are related proteins required for post-transcriptional gene silencing in plants, quelling in fungi, and RNA interference in animals. Proc. Nat'l Acad. Sci. USA 97:11650-11654.							
	AQ 1	Hamilton, A.J. and Baulcombe, D.C. (1999) A species of small antisense RNA in posttranscriptional gene silencing in plants. Science 286:950-952.							
	AR 1			sis SGS2 and SGS3 genes are sistance. Cell 101:533-542.	required	for posttra	anscri	ptional	
					····				
EXAMINER				DATE CONSIDERED					

^{*}EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.